The Ring Study

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Microbicides Giving Women New Hope and Choice in HIV Prevention

The Ring Study

IPM 027

Protocol Version 3.0 Amendment 1.0 Dated 12 September 2013

Objectives Long-term Safety and Efficacy Double-blind, Randomized (2:1), Placebo-controlled Study design IP Vaginal rings inserted every 4 weeks \pm 7 days Endpoints Approx 96 endpoints; 2 year on IP **Power** 81% power to detect 50% treatment effect 1950 women, ages 18-45 Targeted enrollment 1300 on Ring-004 Sites in Africa 7 IPM research center partners in 2 countries 2 years + 6 weeks following ring discontinuation Participant followup

Clinical Research Centres



Match Maternal, Adolescent and Child Health Department of Obstitrics and Gynaecology University of the Witwatersand











Maternal, Adolescent and Child Health (MatCH) Edendale, South Africa

First enrolled participant – 26 April '12

Prevention of HIV/AIDS Project (PHIVA) Pinetown, South Africa First enrolled participant – 23 April '12

Qhakaza Mbokodo Ladysmith, South Africa First recruited participant – 16 April '12

Desmond Tutu HIV Foundation (DTHF) Masiphumelele, South Africa Awaiting approval

Uganda Virus Research Institute (UVRI) Masaka, Uganda First enrolled participant – 12 September '13

Ndlovu Care Group Groblersdal, Limpopo, South Africa Awaiting approval



Timelines

- Investigator Meeting: 14-16 Feb '12
- SIVs for 4 SA sites:
- IXRS activated: 23 Mar `12
- 1st SA Participant Screened: 27 Mar `12
- IP at SA Research Center: 10 Apr `12
- 1st SA Participant Enrolled: 11 Apr `12
- MRC-URVI, Uganda:
- DTHF, Masiphumelele:
- Ndlovu Care Group:
- DSMB:
- Estimated LPI:
- Estimated LPO:

FPI 12 Sep '13 Estimate FPI Q4 '13 Estimate FPI Q1 '14

19-29 Mar `12

Nov '12 and May '13 19 November 2013

Q4 `14 Q4 `16 / Q1 '17

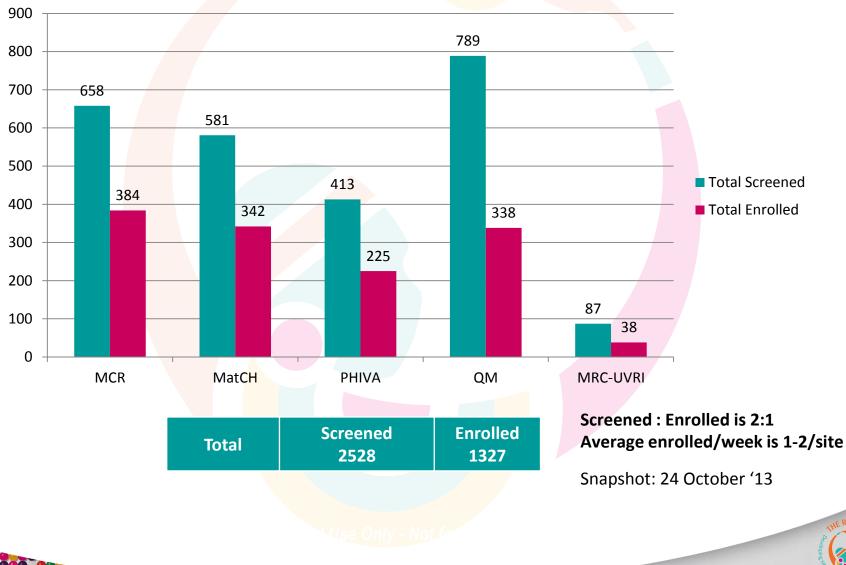
Since October 2012.....

- exceeded 1300 enrolled participants
- first enrolled participants >18 months on The Ring Study
- >95% visit retention per ongoing participants
- faxed in >170,000 CRF pages
- >99% clean records in database
- >95% data queries resolved
- <6% data query rate per 100 CRF pages per research centre
- no safety signals or laboratory trends





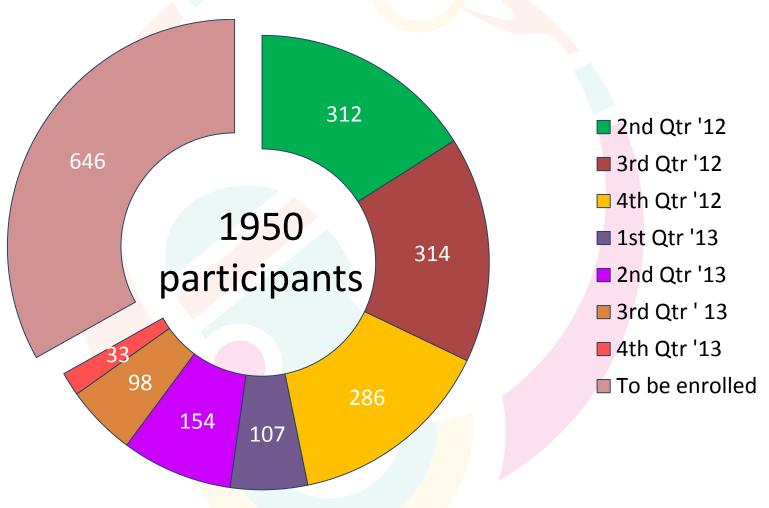
Recruitment



Screen Failures

- HIV positive at Screening
- Unavailable for all visits and procedures
- Investigator Opinion
- Exceed 28-day Screening
- Cervical Cytology Abnormalities

Quarterly Recruitment



Adherence Measurable

- Research staff observations: anecdotal feedback
- Feedback from participant and male engagement events
- Listening to the community voices
- Feedback after group ring adherence discussions
- Protocol adherence:
 - Number of participants contributing to protocol non-adherence
 - Missed Visits
 - Delayed Visits > 35 days (ring dispensing <35 days)
- Adherence questionnaires: Real-time review

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Retention for Ongoing Participants

IPM 027 All research centres	Week 4	Week 8	Week 12	Week 16	Week 20	Week 24	Week 28	Week 32	Week 36	Week 40	Week 44	Week 48	Week 52	Week 56	Week 60	Week 64	Week 68	Week 72	Week 76	Ave
% Attended - May '12	99%																			99%
% Attended - June 2012	100%	97%																		99%
% Attended - July 2012	96%	99%	99%																	98%
% Attended - August 2012	94%	93%	95%	97%	100%															96%
% Attended - September 2012	94%	90%	97%	95%	96%	100%														95%
% Attended - October 2012	92%	92%	89%	94%	91%	93%	89%													91%
% Attended - November 2012	95%	91%	95%	94%	92%	90%	90%	87%												92%
% Attended - December 2012	89%	93%	89%	92%	91%	89%	84%	88%	100%											91%
% Attended - January 2013	99%	97%	93%	93%	98%	91%	98%	94%	96%	97%										96%
% Attended - February 2013	97%	97%	97%	97%	95%	97%	98%	96%	96%	95%	96%									96%
% Attended - March 2013	100%	97%	95%	98%	100%	96%	96%	98%	97%	99%	95%	100%								98%
% Attended - April 2013	100%	100%	94%	98%	98%	99%	94%	97%	98%	95%	96%	97%	98%							97%
% Attended - May 2013	100%	98%	100%	100%	100%	97%	99%	98%	98%	95%	96%	97%	94%	94%						97%
% Attended - June 2013	98%	100%	95%	100%	100%	100%	95%	99%	94%	99%	95%	97%	95%	96%	93%	100%				97%
% Attended - July 2013	100%	95%	98%	98%	97%	100%	98%	95%	97%	97%	100%	96%	96%	94%	96%	98%	100%			97%
% Attended - August 2013	100%	100%	97%	100%	97%	97%	100%	100%	94%	94%	97%	98%	95%	93%	95%	98%	100%	100%		98%
% Attended - September 2013	100%	100%	100%	98%	100%	100%	100%	100%	97%	98%	96%	98%	99%	96%	98%	99%	99%	98%	100%	99%
Average	97%	96%	96%	97%	97%	96%	95%	96%	97%	97%	97%	98%	96%	95%	95%	99%	100%	99%	100%	96%

*Note Missed Visits are conducted on retrospective data and may change as participants are discontinued or reach end of product dates.

Snapshot : 26 Sept '13

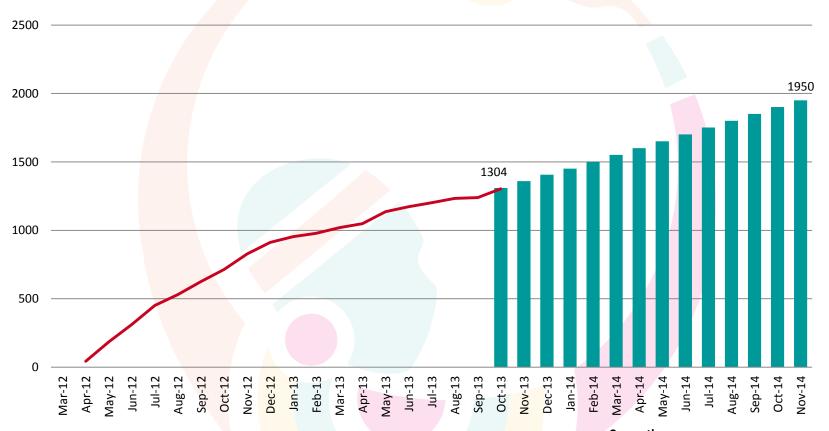
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Objective Adherence Measurable

4-weekly Pharmacokinetic sampling for:

- Dapivirine Residual levels
- Dapivirine Plasma levels
- Dapivirine Vaginal Fluid levels

Estimated Recruitment Timelines



Planned - IPM 027 Cumulative Revised Enrolment Forecast (November 2014 - 1950 participants)

IPM 027 Actual Enrolment - 17 October 2013

Currently:

3 Research Centers currently screening To start:

Masi estimate screening start date **Nov '13** Ndlovu estimate screening start date Jan/Feb '14

Key Messages

- Retention is Key
- Ring Adherence is Everything
- Participant Care matters
- Male and Community Involvement is Essential
- Data Quality is Important
- Cost-Efficiency Counts
- Proactive planning for festive season
- Plan for Success



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Many small things – together their effect is massive.... - Derek Arbuckle